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## Three-Dimensional Stresses in a Half Space Caused by Penny-Shaped Inclusions

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<p>Elastic stress fields caused by isotropic penny-shaped inclusions and axisymmetric ellipsoidal inhomogeneties in a semi-infinite solid are investigated. The analytical solution for these problems is obtained by applying Hankel transformations and Eshelby's solution for ellipsoidal inclusions. This new approach can also be applied to other axisymmetric-potential function-related problems in the half space.</p>					
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## THREE-DIMENSIONAL STRESSES IN A HALF SPACE CAUSED BY PENNY-SHAPED INCLUSIONS

### INTRODUCTION

Elastic fields caused by inclusions in infinite media have been extensively investigated by several authors [1-5] after Eshelby's work [6-8]. Other research efforts have addressed the half-space problem with an inclusion located near the free surface [9-12]. In these studies, the following methods were used: Galerkin vector [9], Papkovitch-Neuber displacement potential [10], image stress caused by two cuboidal inclusions with uniform eigenstrains [11], and Green's function in the half space [12]. Mura has recently reviewed these research efforts [13].

When the elastic moduli of an ellipsoidal subdomain of a material differs from those of the remainder (matrix), the subdomain is called an ellipsoidal inhomogeneity. Cracks, voids, and precipitates are examples of these inhomogeneities. A material containing inhomogeneities is assumed to be free from any stress field unless an external stress field  $\sigma_{ij}^a$  is applied. On the other hand, a material containing inclusions is subjected to an internal stress caused by the eigenstrain  $e_{ij}^T$  even if it is free from any external loads. The definition of eigenstrains has been given by Mura [13] and is the same as the stress-free-transformation strain described by Eshelby [6].

The solutions for ellipsoidal inhomogeneities can be reduced to the penny-shaped or elliptical crack case by setting the elastic constants  $\lambda$  and  $\mu$  for the inhomogeneities equal to zero. The solution of the three-dimensional problems for these cracks has received considerable attention [14-19]. The stress field of a penny-shaped crack in the half space can be solved by obtaining the relevant system of integral equations for the problem formulated by Erdogan and Gupta [20] for the stress analysis of multilayered composites with a flaw.

In the present study, Eshelby's method for ellipsoidal inclusions [6-8] and Hankel's transformation method, used to obtain the elastic solutions of a circular dislocation loop in an unbounded media [21] and in the half space [22], are used for the analysis of the elastic solution of axisymmetric inclusions and axisymmetric-ellipsoidal inhomogeneities in the half space. The method provides a novel way for obtaining the image stresses of an ellipsoidal inclusion in the half space. It is used to find a more general solution of an ellipsoidal inclusion with anisotropic eigenstrain. Existing solutions are shown to be special cases of the present result. This method can also be used to obtain the stress field of a penny-shaped crack in the half space.

### BASIC APPROACH

In this report, we consider an axisymmetric ellipsoidal inclusion  $\Omega_1$  in a half space (Fig. 1). In general, the inclusion  $\Omega_1$  is given by

$$\frac{x_1^2}{a_1^2} + \frac{x_2^2}{a_2^2} + \frac{x_3^2}{a_3^2} \leq 1. \quad (1)$$

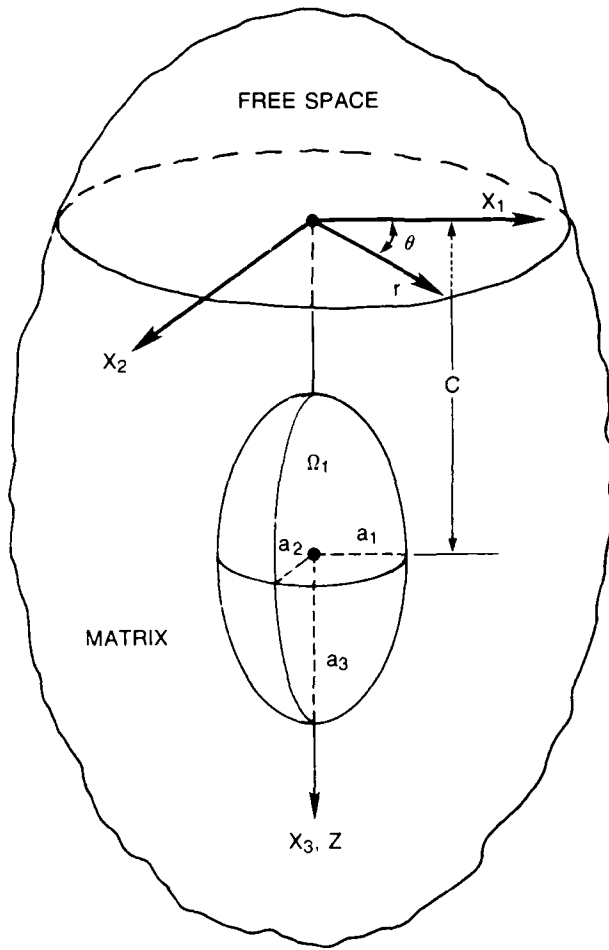


Fig. 1 — Ellipsoidal inclusion with principal half axis  $a_1 = a_2, a_3$  in a half space

Symmetry with respect to the  $x_3$ -axis is then defined by  $a_1 = a_2$ , and the anisotropic eigenstrain of the inclusion

$$e_{ij}^T = \delta_{ij}(e + b\delta_{i3}) \quad i, j = 1, 2, 3, \quad (2)$$

where  $\delta_{ij}$  is Kronecker delta. (Note that the usual summation convention does not apply to any of the expressions in this report.) Equation (2) states that only normal eigenstrains appear, and  $e_{11}^T = e_{22}^T = e$  and  $e_{33}^T = e + b$ .

For the inclusion  $\Omega_1$  defined by Eq. (1) with the uniform eigenstrain described by Eq. (2) with  $a_3 \rightarrow 0$ , the stress field in the unbounded medium outside  $\Omega_1$  is obtained by using Eshelby's method [6-8]. The result is given by

$$\begin{aligned} \sigma_{ij} = & \frac{\mu b}{4\pi(1-\nu)} [x_3 \phi_{,ij3} - (1-2\nu)(\delta_{i3} + \delta_{j3} - 1)\phi_{,ij} - 2\nu\delta_{ij}\phi_{,33}] \\ & - \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \phi_{,ij}, \end{aligned} \quad (3)$$

where the numerical suffixes,  $i, j = 1, 2, 3$ , following a comma denote differentiation with respect to the Cartesian coordinates  $x_1, x_2, x_3$ , e.g.  $\phi_{,ij} = \partial^2 \phi / \partial x_i \partial x_j$ , and  $\phi$  is the Newtonian potential function that is given by

$$\phi = \pi a_1^2 a_3 \int_{\lambda_0}^{\infty} \frac{U}{\Delta} ds, \quad (4)$$

where

$$U = 1 - \left[ \frac{x_1^2 + x_2^2}{a_1^2 + s} + \frac{x_3^2}{a_3^2 + s} \right],$$

$$\Delta = (a_1^2 + s)(a_3^2 + s)^{1/2},$$

and  $\lambda_0$  is the largest root of  $U = 0$  outside of  $\Omega_1$  and  $\lambda_0 = 0$  inside of  $\Omega_1$ . For inclusions with uniform dilatation eigenstrain only ( $b = 0$ ), Eq. (3) is valid for any  $a_3$  value. The detailed expression of  $\phi$  for both the oblate spheroid ( $a_1 > a_3$ ) and the prolate spheroid ( $a_1 < a_3$ ) are given by Yu [23]. Equation (3) can be transformed into cylindrical coordinates ( $r, \theta, z$ ) as follows:

$$\begin{aligned} \sigma_{rr} &= -\frac{\mu b}{4\pi(1-\nu)} \left[ \phi_{,zz} + z\phi_{,zzz} + \frac{1-2\nu}{r} \phi_{,r} + \frac{z}{r} \phi_{,rz} \right] \\ &\quad + \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \left[ \frac{\phi_{,r}}{r} + \phi_{,zz} \right], \\ \sigma_{\theta\theta} &= -\frac{\mu b}{4\pi(1-\nu)} \left[ 2\nu\phi_{,zz} - \frac{1-2\nu}{r} \phi_{,r} - \frac{z}{r} \phi_{,rz} \right] - \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \frac{\phi_{,r}}{r}, \\ \sigma_{zz} &= -\frac{\mu b}{4\pi(1-\nu)} [\phi_{,zz} - z\phi_{,zzz}] - \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \phi_{,zz}, \\ \sigma_{rz} &= \frac{\mu b}{4\pi(1-\nu)} [z\phi_{,rz}] - \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \phi_{,rz}, \\ \sigma_{r\theta} &= \sigma_{z\theta} = 0. \end{aligned} \quad (5)$$

Equations (5) are obtained with the aid of the following relationships:

$$\nabla^2 \phi = 0,$$

$$x_1 \phi_{,2} = x_2 \phi_{,1},$$

and

$$\phi_{,r} = \frac{1}{r} (x_1 \phi_{,1} + x_2 \phi_{,2}), \quad (6)$$

where the letter suffixes following a comma denote differentiation with respect to the cylindrical coordinates  $r$ ,  $\theta$ , and  $z$ , e.g.  $\phi_{,rz} = \partial^2 \phi / \partial r \partial z$ .

For a circular-edge dislocation loop with the  $z$ -axis as the axis of symmetry in an unbounded medium (Fig. 2), the stress field is found by Kroupa [21] by using Hankel transformations. For  $z > 0$ , Kroupa's solution can be rewritten as

$$\begin{aligned}
 \sigma_{rr} &= -\frac{\mu b'}{2(1-\nu)} a [(I_0^{-1})_{,zz} + z(I_0^{-1})_{,zzz} + \frac{1-2\nu}{r} (I_0^{-1})_{,r} + \frac{z}{r} (I_0^{-1})_{,rz}], \\
 \sigma_{\theta\theta} &= -\frac{\mu b'}{2(1-\nu)} a \left[ 2\nu(I_0^{-1})_{,zz} - \frac{1-2\nu}{r} (I_0^{-1})_{,r} - \frac{z}{r} (I_0^{-1})_{,rz} \right], \\
 \sigma_{zz} &= -\frac{\mu b'}{2(1-\nu)} a [(I_0^{-1})_{,zz} - z(I_0^{-1})_{,zzz}], \\
 \sigma_{rz} &= \frac{\mu b'}{2(1-\nu)} a [z(I_0^{-1})_{,rz}], \\
 \sigma_{r\theta} &= \sigma_{z\theta} = 0,
 \end{aligned} \tag{7}$$

where

$$\begin{aligned}
 I_m^n &= \int_0^\infty t^n J_m(rt/a) J_1(t) e^{-zt/a} dt, \\
 I_m^n &= -a(I_m^{n-1})_{,z}, \\
 &= -ar^{m-1}(r^{-m+1} I_{m-1}^{n-1})_{,r} \quad (m = 0, 1, 2, \dots; n = -1, 0, 1, 2, \dots),
 \end{aligned}$$

and  $J_m$  is the Bessel function of the  $m$ th order,  $a$  is the radius of the circular dislocation loop, and  $b'$  is the Burger's vector. Equation (7) is obtained by the method of Hankel transformation as used for cylindrically symmetric problems of the theory of elasticity in Sneddon's book [24] and subjected to the following boundary conditions:

$$\begin{aligned}
 u_z(r, 0) &= \frac{1}{2} b' \quad \text{for } 0 \leq r < a, \\
 &= 0 \quad \text{for } r > a, \\
 \sigma_{rz}(r, 0) &= 0 \quad \text{for } 0 \leq r < \infty.
 \end{aligned} \tag{8}$$

For the penny-shaped inclusion without shear and dilatation eigenstrains (penny-shaped prismatic inclusion), which is the axisymmetric inclusion when  $a_3$  approaches zero, the equivalent eigenstrains

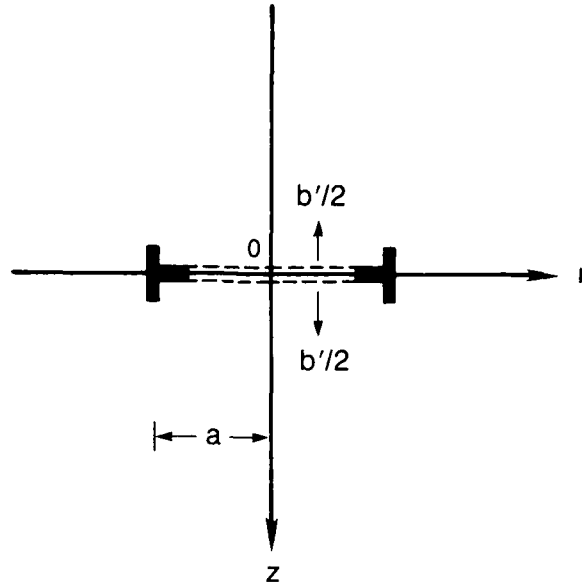


Fig. 2 — Circular edge dislocation loop in infinite solid

are  $e_{11}^T = e_{22}^T = 0$ ,  $e_{33}^T \neq 0$ . If we reduce Eq. (5) for a penny-shaped prismatic inclusion, that is,  $a_3 \rightarrow 0$  and  $e = 0$ , it is interesting to note the similarity between Eqs. (5) and (7). By putting

$$\phi = kI_0^{-1} \quad (a_3 \rightarrow 0), \quad (9)$$

where  $k = 2\pi b'a/b$ , and  $a_1 = a$ , the elastic solutions of both the penny-shaped prismatic inclusion (Eq. 5) and the circular-edge dislocation loop (Eq. 7) are identical. This suggests that the method used to investigate the elastic solution of a circular-edge dislocation loop in the half space [22] can be applied to solve the elastic field caused by an axisymmetrical inclusion in the half space. This approach is reasonable since the solution of the axisymmetrical inclusion can be obtained by the integration of the results of a penny-shaped prismatic inclusion and the fact that if the inclusion has the same elastic moduli as the matrix, the stress field is the same as that of a small dislocation loop when both the dislocation loop and the inclusion are infinitesimally small [8]. For example, a small inclusion of volume  $V$  and an eigenstrain  $e_{33}^T$  in the  $x_3$  direction has the same stress field as that of a prismatic interstitial dislocation loop of area  $A$  and Burgers vector  $b_1$  provided that  $Ve_{33}^T = Ab_1$ .

Consider the half space  $x_3 = z > 0$  (Fig. 1), an axisymmetric inclusion with the center at the point  $(0, 0, c)$  in such a way that its axis of symmetry ( $z$ -axis) is perpendicular to the plane of the free surface  $z = 0$ . In order that the plane  $z = 0$  be a free surface, no force must act on it, thus the stress components at  $z = 0$  must satisfy the boundary conditions

$$(\sigma_{rz})_{z=0} = 0, \quad (10)$$

$$(\sigma_{zz})_{z=0} = 0,$$

and the equilibrium condition

$$\sum_{j=1}^3 \sigma_{ij,j} = 0. \quad (11)$$



Similar to the work of Bastecka [22], the stress  $\sigma_{ij}$  outside the axisymmetric ellipsoidal inclusion centered at the point  $(0, 0, c)$  but in the half space  $z > 0$  is

$$\sigma_{ij} = \sigma_{ij}^I + \sigma_{ij}^{II} + \sigma'_{ij}, \quad (12)$$

which will satisfy the required boundary conditions (Eq. 10) and the equilibrium condition (Eq. 11). This converges to zero for  $x_1$  and  $x_2$  approaching  $\pm \infty$  and  $x_3$  approaching  $\infty$ . In Eq. (12), the term  $\sigma_{ij}^I$  is the stress caused by the axisymmetric inclusion  $\Omega_1$  (and outside of it) centered at the point  $(0, 0, c)$ ;  $\sigma_{ij}^{II}$  is the stress caused by the image inclusion  $\Omega_2$  centered at the point  $(0, 0, -c)$  (Fig. 3) with eigenstrain

$$(e_{ij}^T)^{II} = -(e_{ij}^T)^I = -\delta_{ij}(e + b\delta_{i3}). \quad (13)$$

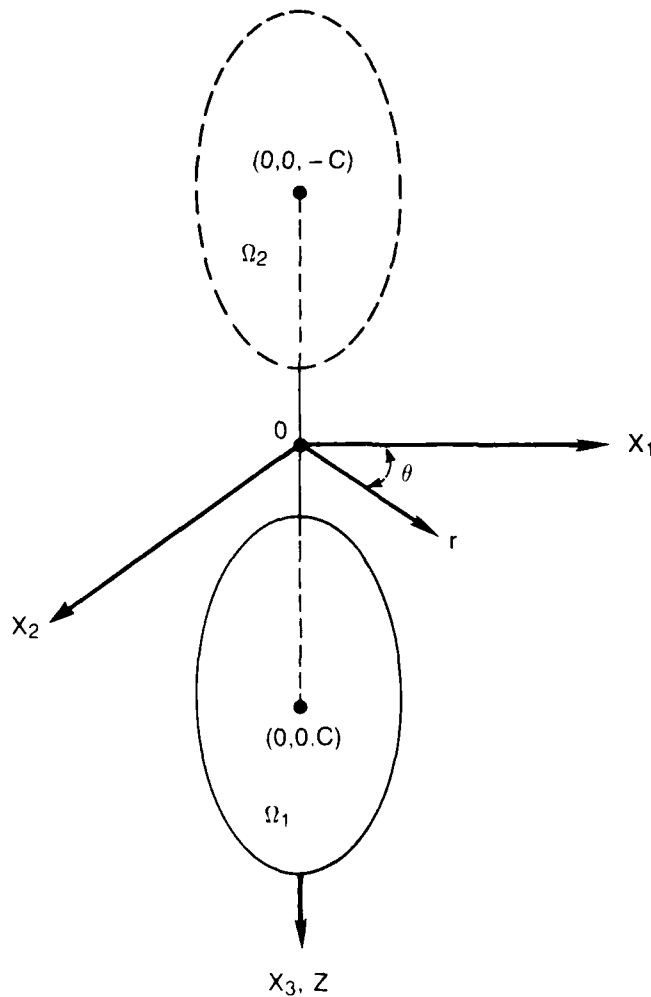


Fig. 3 — Semi-infinite solid containing an ellipsoidal inclusion  $\Omega_1$  and its image  $\Omega_2$

Equation 13 shows that  $\sigma'_{ij}$  is an additional stress that satisfies the boundary condition

$$(\sigma'_{zz})_{z=0} = -(\sigma'_{zz} + \sigma''_{zz})_{z=0} = 0; \quad (14a)$$

$$(\sigma'_{rz})_{z=0} = -(\sigma'_{rz} + \sigma''_{rz})_{z=0}. \quad (14b)$$

The solutions for the stresses  $\sigma'_{ij}$  and  $\sigma''_{ij}$  are obtained by translating the origin of coordinates in Eq. (3) and Eq. (5) to points  $(0, 0, c)$ , and  $(0, 0, -(c))$  respectively. The Newtonian potential function  $\phi'$  and  $\phi''$  for the solutions of  $\sigma'_{ij}$  and  $\sigma''_{ij}$  respectively are given by

$$\begin{aligned} \phi' &= \pi a_1^2 a_3 \int_{\lambda_1}^{\infty} \frac{U_1}{\Delta} ds, \\ \phi'' &= \pi a_1^2 a_3 \int_{\lambda_2}^{\infty} \frac{U_2}{\Delta} ds, \end{aligned} \quad (15)$$

where

$$U_1 = 1 - \left[ \frac{x_1^2 + x_2^2}{a_1^2 + s} + \frac{(x_3 - c)^2}{a_3^2 + s} \right],$$

$$U_2 = 1 - \left[ \frac{x_1^2 + x_2^2}{a_1^2 + s} + \frac{(x_3 + c)^2}{a_3^2 + s} \right],$$

$$\Delta = (a_1^2 + s)(a_3^2 + s)^{1/2},$$

and where

$\lambda_1$  is the largest root of  $U_1 = 0$  for exterior points of  $\Omega_1$ ,

$\lambda_1 = 0$  for interior points of  $\Omega_1$ , and

$\lambda_2$  is the largest root of  $U_2 = 0$ .

### SOLUTION FOR $\sigma'_{ij}$

Substituting Eqs. (5) and (15) into Eq. (14) gives

$$(\sigma'_{zz})_{z=0} = 0; \quad (16a)$$

$$(\sigma'_{rz})_{z=0} = \frac{\mu}{2\pi(1-\nu)} \left[ cb \phi''_{,rzz} - 2(1+\nu)e \phi''_{,rz} \right]_{z=0}, \quad (16b)$$

where for  $z = 0$ ,  $\phi_{,rzz}^I = \phi_{,rzz}^{II}$  and  $\phi_{,rz}^I = -\phi_{,rz}^{II}$ . Now, in the limit when  $a_3$  approaches zero, that is, for the penny-shaped inclusion ( $a_1 = a_2 = a$ ), we can substitute Eq. (9) into Eq. (16b) to obtain

$$\begin{aligned} (\sigma'_{rz})_{z=0} = & -\frac{\mu bc}{2\pi(1-\nu)} \frac{k}{a^3} \int_0^\infty t^2 J_1(\rho t) J_1(t) e^{-ct/a} dt \\ & -\frac{\mu(1+\nu)e}{\pi(1-\nu)} \frac{k}{a^2} \int_0^\infty t J_1(\rho t) J_1(t) e^{-ct/a} dt, \end{aligned} \quad (16c)$$

where  $\rho = r/a$ .

For the axisymmetric problem, by the appropriate expression of the elastic displacements as the derivatives of certain function  $\psi(r, z)$  in cylindrical coordinates, the equilibrium and Beltrami equations are replaced by a single equation [24]

$$\nabla^4 \psi(r, z) = 0, \quad (17)$$

whose general solution is carried out by the method of integral transformations. The function  $\psi$  is replaced by its Hankel transform of zeroth order,

$$G(\zeta, z) = \int_0^\infty r \psi(r, z) J_0(\zeta r) dr, \quad (18)$$

and it can be shown that  $G(\zeta, z)$  is generally given by the expression

$$G(\zeta, z) = (A + Bz)e^{-\zeta z} + (C + Dz)e^{\zeta z}, \quad (19)$$

where  $A$ ,  $B$ ,  $C$  and  $D$  are unknown functions of  $\zeta$ , which are determined from the boundary conditions. The stress components are expressed by means of the function  $G(\zeta, z)$ .

In the present case, we consider the solution to converge to zero for  $z$  approaching  $\infty$ . Thus we set  $C = D = 0$ . To determine  $A$  and  $B$  from the first boundary condition (Eq. 16a), we obtain the following relationship

$$A = -\frac{\mu}{\lambda + \mu} \frac{B}{\zeta}, \quad (20)$$

where  $\lambda = 2\mu\nu/(1-2\nu)$  is Lamé's constant. From the second boundary condition (Eq. 16b), as modified in Eq. (16c), we have

$$\begin{aligned} (\sigma'_{rz})_{z=0} &= f(r) \\ &= \int_0^\infty \zeta F(\zeta) J_1(\zeta r) d\zeta, \end{aligned} \quad (21)$$

where

$$F(\zeta) = -2(\lambda + \mu)\zeta^2 B(\zeta), \quad (22)$$

and

$$f(r) = -\frac{\mu bc}{2\pi(1-\nu)} \frac{k}{a^3} \int_0^\infty t^2 J_1(\rho t) J_1(t) e^{-ct/a} dt$$

$$-\frac{\mu(1+\nu)e}{\pi(1-\nu)} \frac{k}{a^2} \int_0^\infty t J_1(\rho t) J_1(t) e^{-ct/a} dt. \quad (23)$$

By letting  $t = a\zeta$ , Eqs. (21) and (23) give

$$F(\zeta) = -\frac{k\mu}{2\pi(1-\nu)} [cb\zeta + 2(1+\nu)e] J_1(a\zeta) e^{-c\zeta}. \quad (24)$$

By substituting Eqs. (24), (22), and (20) into Eq. (19), the function  $G(\zeta, z)$  is found that can then be substituted in the expressions for the stress  $\sigma'_{ij}$  [24, §51]. After substituting the relationship again,

$$\phi'' = k(I_0^{-1})'' = k \int_0^\infty t^{-1} J_0(\rho t) J_1(t) e^{-t(z+c)/a} dt, \quad (25)$$

these stresses  $\sigma'_{ij}$  are as follows.

$$\sigma'_{rr} = -\frac{\mu bc}{2\pi(1-\nu)} \left[ 2\phi_{,zzz}'' + z\phi_{,zzzz}'' + \frac{2(1-\nu)}{r} \phi_{,rz}'' + \frac{z}{r} \phi_{,rzz}'' \right]$$

$$+ \frac{\mu(1+\nu)e}{\pi(1-\nu)} \left[ 2\phi_{,zz}'' + z\phi_{,zzz}'' + \frac{2(1-\nu)}{r} \phi_{,r}'' + \frac{z}{r} \phi_{,rz}'' \right],$$

$$\sigma'_{\theta\theta} = -\frac{\mu bc}{2\pi(1-\nu)} \left[ 2\nu\phi_{,zzz}'' - \frac{2(1-\nu)}{r} \phi_{,rz}'' - \frac{z}{r} \phi_{,rzz}'' \right]$$

$$+ \frac{\mu(1+\nu)e}{\pi(1-\nu)} \left[ 2\nu\phi_{,zz}'' - \frac{2(1-\nu)}{r} \phi_{,r}'' - \frac{z}{r} \phi_{,rz}'' \right], \quad (26)$$

$$\sigma'_{zz} = \frac{\mu bc}{2\pi(1-\nu)} \left[ z\phi_{,zzzz}'' \right] - \frac{\mu(1+\nu)e}{\pi(1-\nu)} \left[ z\phi_{,zzz}'' \right],$$

$$\sigma'_{rz} = \frac{\mu bc}{2\pi(1-\nu)} \left[ \phi_{,rzz}'' + z\phi_{,rzzz}'' \right] - \frac{\mu(1+\nu)e}{\pi(1-\nu)} \left[ \phi_{,rz}'' + z\phi_{,rzz}'' \right],$$

$$\sigma'_{r\theta} = \sigma'_{rz} = 0.$$

When  $e = 0$  and  $\phi'' = k(I_0^{-1})''$ , Eq. (26) reduces to the same results obtained by Bastecka [22] for a circular-edge dislocation loop in the half space. In Cartesian coordinates,  $\Sigma\phi$ , (26) becomes

$$\begin{aligned} \sigma'_{ij} = & -\frac{\mu b c}{2\pi(1-\nu)} \left[ (1-2\nu)(\delta_{3i} + \delta_{3j} - 1)\phi_{,ij3}'' - \phi_{,ij3}'' \right. \\ & \left. + 2\nu\delta_{ij}\phi_{,333}'' - x_3\phi_{,ij33}'' \right] \\ & + \frac{\mu(1+\nu)e}{\pi(1-\nu)} \left[ (1-2\nu)(\delta_{3i} + \delta_{3j} - 1)\phi_{,ij}'' - \phi_{,ij}'' \right. \\ & \left. + 2\nu\delta_{ij}\phi_{,33}'' - x_3\phi_{,ij3}'' \right]. \end{aligned} \quad (27)$$

It can be shown that  $\sigma'_{ij}$  satisfies the equation of equilibrium, that is,

$$\sum_{j=1}^3 \sigma'_{ij,j} = 0. \quad (28)$$

Therefore, for points outside  $\Omega_1$ , the stress field caused by the presence of a penny-shaped inclusion in the half space can be obtained by Eqs. (3), (12), and (27). Thus,

$$\begin{aligned} \sigma_{ij} = & \frac{\mu b}{4\pi(1-\nu)} \{ (x_3 - c)(\phi_{,ij3}^I - \phi_{,ij3}''') - (1-2\nu)(\delta_{3i} + \delta_{3j} - 1)(\phi_{,ij}^I - \phi_{,ij}''') + 2c\phi_{,ij3}'' \} \\ & - 2\nu\delta_{ij}(\phi_{,33}^I - \phi_{,33}''') + 2c\phi_{,333}'' + 2cx_3\phi_{,ij33}'' \\ & - \frac{\mu(1+\nu)e}{2\pi(1-\nu)} \{ \phi_{,ij}^I + \phi_{,ij}'' - 2(1-2\nu)(\delta_{3i} + \delta_{3j} - 1)\phi_{,ij}'' - 4\nu\delta_{ij}\phi_{,33}'' \\ & + 2x_3\phi_{,ij3}'' \}. \end{aligned} \quad (29)$$

For points inside  $\Omega_1$ , the elastic stress  $\sigma_{ij}^*$  is given by

$$\begin{aligned} \sigma_{ij}^* &= \sigma_{ij} - \sigma_{ij}^{**} \\ &= (\sigma_{ij}^I - \sigma_{ij}^{**}) + \sigma_{ij}'' + \sigma'_{ij} \end{aligned} \quad (30)$$

where  $-\sigma_{ij}^{**}$  is the uniform stress that exists in the inclusion caused by the uniform eigenstrain  $e_{ij}^T$  (Eq. 2). The stress  $(\sigma_{ij}^I - \sigma_{ij}^{**})$  is the uniform stress inside the inclusion  $\Omega_1$  when the medium is infinite. The solution is expressed explicitly by Mura ([13], Eq. 11.20). Equations (5), (12), and (26) give the stress field in cylindrical coordinates.

Seo and Mura's results [12] for the elastic field in a half space caused by an ellipsoidal inclusion with uniform dilatational eigenstrain (obtained by using Mindlin's solution [25] for Green's function in the half space) can be obtained as a special case by taking  $b = 0$  (and  $a_1 = a_2$ ) in Eqs. (29) and (30). Mindlin and Cheng's results [9] for a sphere can also be obtained as a special case by taking  $a_1 = a_2 = a_3$  and  $b = 0$  in Eq. (29).

## ELASTIC STRAIN ENERGY

The elastic strain energy can be expressed as

$$\begin{aligned} W &= -\frac{1}{2} \int_{\Omega_1} \sigma_{ij}^* e_{ij}^T d\bar{V}, \\ &= -\frac{1}{2} \int_{\Omega_1} \sum_{i=1}^3 \sigma_{ii}^* e d\bar{V} - \frac{1}{2} \int_{\Omega_1} \sigma_{33}^* b d\bar{V}, \end{aligned} \quad (31)$$

where  $\sum_{i=1}^3 \sigma_{ii}^*$  is the dilation stress field in the inclusion. It is given by

$$\begin{aligned} \sum_{i=1}^3 \sigma_{ii}^* &= -\frac{2\mu(1+\nu)e}{(1-\nu)} \left[ 2 - \frac{1}{\pi} (1+\nu)\phi_{,33}'' \right] \\ &\quad - \frac{2\mu(1+\nu)b}{(1-\nu)} \left[ 1 + \frac{1}{4\pi} (\phi_{,33}' - \phi_{,33}'' + 2c\phi_{,333}'') \right]. \end{aligned} \quad (32)$$

when  $b = 0$ , the strain energy obtained is the same as that obtained by Seo and Mura [12].

## THE ELLIPSOIDAL INHOMOGENEITY

When an inhomogeneity contains an eigenstrain, it is called an inhomogeneity inclusion. Eshelby [6] first pointed out that the stress-field changes caused by an inhomogeneity when the remotely applied stress is  $\sigma_{ij}^a$  can be simulated by the eigenstress caused by an inclusion, if the eigenstrain  $e_{ij}^T$  is properly chosen. This eigenstrain is sometimes referred to as the equivalent eigenstrain, or the equivalent stress-free transformation strain. For a given uniformly applied stress  $\sigma_{ij}^a$  and a uniform eigenstrain  $e_{ij}^{T*}$ , the normal components of the equivalent eigenstrains  $e_{ij}^T$  are given by [23]

$$\begin{aligned} (\lambda - \lambda^*)e^c + \lambda e^T + 2\mu e_{ij}^T + 2(\mu^* - \mu) \sum_{kl=11}^{33} S_{ijkl} e_{kl}^T \\ = \lambda^* e^{T*} + (\lambda - \lambda^*)e^a + 2\mu^* e_{ij}^{T*} + 2(\mu - \mu^*)e_{ij}^a \end{aligned} \quad (33)$$

where  $ij = 11, 22, 33$  and  $kl$  denotes summation over 11, 22, 33 only;  $e^T$ ,  $e^{T*}$  and  $e^a$  are the sum of three normal components of strains  $e_{ij}^T$ ,  $e_{ij}^{T*}$ , and  $e_{ij}^a$  respectively;

$$e^c = \frac{1-2\nu}{4\pi(1-\nu)} (I_1 e_{11}^T + I_2 e_{22}^T + I_3 e_{33}^T) + \frac{\nu}{1-\nu} e^T. \quad (34)$$

In this equation,  $\mu, \lambda$  are the elastic constants of the matrix;  $\mu^*, \lambda^*$  are the elastic constants of the inhomogeneity; and  $I_1, I_2, I_3$ , and  $S_{ijkl}$  are constants whose values depend on the shape of the inclusion as given by Eshelby [6-8]. Some detailed expressions for these constants for the inclusions of special shapes are given by Mura [13]. Therefore, by solving the set of three simultaneous equations in Eq. (33), the equivalent eigenstrains  $e_{11}^T, e_{22}^T$ , and  $e_{33}^T$  are obtained once the uniform eigenstrain  $e_{ij}^{I*}$  and uniformly applied stress  $e_{ij}^a$  are given. If both  $e_{ij}^{I*}$  and  $e_{ij}^a$  are axisymmetric for an axisymmetrical inclusion, the resultant equivalent eigenstrain  $e_{ij}^T$  is also axisymmetric and can be represented in the form of Eq. (2). Then the results of Eqs. (3), (5), (12), (26), (27), (29), and (30) can be applied accordingly to solve the stress field and strain energy of an axisymmetrical inhomogeneous inclusion in the half space.

## SURFACE DISTORTION AND DILATATION FIELD

The roughness of solid surfaces is a second-order effect, but it has profound practical consequences in many fields of engineering and pure science. In many practical situations, the presence of inclusions or inhomogeneities under an external load will change the surface profile. The displacement of the free surface ( $z = 0$ ) solved by the present method is:

$$\begin{aligned} u_r &= \frac{bc}{\pi} (\phi,_{rr})_{z=0} - \frac{(1+\nu)e}{\pi} (\phi,_{rr})_{z=0}, \\ u_z &= \frac{b}{2\pi} [(\phi,_{zz})_{z=0} - c(\phi,_{zz})_{z=0}] + \frac{e}{\pi} (\phi,_{zz})_{z=0}. \end{aligned} \quad (35)$$

The presence of inclusions or inhomogeneities under an external load will also produce a dilatational field. The dilatational field in the matrix obtained in the present study is:

$$\begin{aligned} \frac{\Delta V}{V} &= -\frac{(1-2\nu)b}{4\pi(1-\nu)} [\phi,_{zz}^I - \phi,_{zz}^{II} + 2c\phi,_{zzz}^{II}] \\ &+ \frac{(1-2\nu)(1+\nu)e}{\pi(1-\nu)} \phi,_{zz}^{II}. \end{aligned} \quad (36)$$

The important relationships between the dilatation field and the equilibrium-concentration distribution for dilute solutions in stressed solid are given by Li [26].

## SUMMARY

The stress field in the half space ( $z \geq 0$ ) caused by a penny-shaped inclusion  $\Omega_1$  centered at  $(0, 0, c)$  with eigenstrain  $e_{ij}^T = \delta_{ij}(e + b\delta_{i3})$  is found by the superposition of the following three stress fields: (a) the stress field of the inclusion  $\Omega_1$  centered at  $(0, 0, c)$  with eigenstrain  $e_{ij}^T$  in an infinite medium; (b) the stress field of the image inclusion  $\Omega_2$  centered at  $(0, 0, -c)$  with eigenstrain  $-e_{ij}^T$ ; and (c) the additional fictitious stress field that makes all stress fields satisfy the equilibrium and boundary conditions.

The stress field of the penny-shaped prismatic inclusion in an infinite medium obtained by Eshelby is compared with the stress field of a prismatic loop in an infinite medium as obtained by Kroupa [21]. A relationship is found between the potential function  $\phi$  of the inclusion and the integral function  $I_0^{-1}$ , which involves the product of the Bessel functions  $J_m$  for the solution of the prismatic loop.

The fictitious stress field is solved first for the two-dimensional problem by using the Hankel transformation method and then it is transformed into the three-dimensional case by use of the relationship between  $\phi$  and  $I_0^{-1}$ .

The solution of the elastic field in the half space with ellipsoidal inclusions with uniform dilatational eigenstrains obtained by Seo and Mura (1979) has been rearranged into three terms corresponding to the stress field of the inclusion  $\Omega_1$  in an infinite medium centered at  $(0,0,-c)$  with eigenstrain  $\delta_{ij}e$ , the stress field of the image inclusion  $\Omega_2$  centered at  $(0,0,-c)$  with eigenstrain  $-\delta_{ij}e$ , and the additional fictitious stress field. It has also been shown that when  $a_1 = a_2$ , Seo and Mura's results are a special case of the present solution.

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